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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/981,648	10/16/2001	Frank L. Graham	ADVEC101A-C2

CONFIRMATION NO. 8065

29847

VAN DYKE & ASSOCIATES, P.A.
1630 HILLCREST STREET
ORLANDO, FL 32803

FORMALITIES LETTER



OC000000007082093

Date Mailed: 11/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$390.
 - \$54 for 3 total claims over 20.
 - \$336 for 4 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1260.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

02/15/2002 HNDHNN1 00000034 09981648

01 FC:101	740.00 OP
02 FC:103	54.00 OP
03 FC:102	336.00 OP
04 FC:105	130.00 OP

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

2025 RELEASE UNDER E.O. 14176

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Graham *et al.*

Application No.: 09/981,648

Filed: 10/16/2001

Title: Enhanced System for Construction of
Adenovirus Vectors

Group Art Unit: 1636

Attorney Docket No.: ADVEC10IA-C2

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents
Washington, D.C. 20231
Attention: Box Missing Parts

Sir:

This is in response to a Notice to File Missing Parts of Application under 37 CFR 1.53(b). Enclosed is a copy of said Notice and the following documents and fees to complete the filing requirements of the above-identified application.

- (X) Copy of executed Declaration and Power of Attorney form parent application serial no: 09/415,899.
- (X) Statutory basic filing fee of \$740.00. (X) Utility () Design
- (X) Additional claim fees of \$390.00 (\$54.00 for 3 total claims over 20 and \$336.00 for 4 independent claims over 3).
- (X) Missing Parts Surcharge of \$130.00.
- (X) Substitute drawings in compliance with 37 C.F.R. 1.84.

Respectfully submitted,

By


Timothy H. Van Dyke, Reg. No. 43218

Date: 1/16/2002

Customer No: 29847

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope with sufficient postage addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: 1/16/2002

Typed Name: Timothy H. Van Dyke

Signature: 